

# EXHIBIT D

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## Company Highlights

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Wells Fargo Advisors is a non-bank affiliate of Wells Fargo & Company, providing advisory services, asset management, brokerage services, estate planning strategies, retirement planning, portfolio analysis and monitoring, and other financial services through nearly 19,000 registered representatives.

### Home Office

1 North Jefferson Ave.  
St. Louis, Missouri 63103

### Broker-Dealers Under Wells Fargo & Company

- Wells Fargo Advisors, LLC
- Wells Fargo Advisors Financial Network, LLC
- First Clearing, LLC
- H.D. Vest Investment Services<sup>SM</sup>

### Retail Client Assets<sup>1</sup>

\$1.2 trillion

### Financial Advisors<sup>1</sup>

15,194

### Licensed Bankers<sup>1</sup>

3,795

### Registered Representatives<sup>1</sup>

18,989

### Correspondent Clearing Firms<sup>1</sup>

93

### Industry Rank

- Third-largest full-service provider of retail brokerage services in the U.S. (based on the number of Financial Advisors)
- Third-largest provider of managed accounts (based on assets)

### Areas of Distinction

- Unique structure that combines the capabilities of a large, national firm with the best practices of several smaller, esteemed firms
- Dedication to Financial Advisors and their relationships with clients
- Unprecedented choice and flexibility for Financial Advisors and their clients through distinct business channels supported by established products, services, and technology

Data as of June 30, 2011

<sup>1</sup>Data is a combination of Wells Fargo Advisors, LLC, Wells Fargo Advisors Financial Network, LLC, First Clearing LLC, and H.D. Investments Services<sup>SM</sup>.

## Get in Touch With Us

For more information, contact your Financial Advisor.

Don't have an advisor or an account?

Call: (866)224-5708 or

[Request A Call](#)

## Questions about the Web site?

[Email](#) | Call (877) 879-2495  
Mon. - Fri.; 8 a.m. to 9 p.m. ET

## Financial Reports

- [Wells Fargo Advisors Consolidated Statement of Financial Condition \(Unaudited\)](#)  
 (273KB)
- [Wells Fargo Advisors Statement of Financial Condition \(Audited\)](#)  
 (886KB)

## Related Information

- [Facts at a Glance](#)
- [Newsroom & media contacts](#)

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### Investment and Insurance Products:

**NOT FDIC-Insured** | **NO Bank Guarantee** | **MAY Lose value**

Wells Fargo Advisors is the trade name used by two separate registered broker-dealers: Wells Fargo Advisors, LLC and Wells Fargo Advisors Financial Network, LLC, Members [SIPC](#), non-bank affiliates of Wells Fargo & Company.

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### Broker-Dealers Under Wells Fargo & Company

- Wells Fargo Advisors, LLC
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- First Clearing, LLC

### Financial Advisors\*

15,170

### Licensed Bankers\*

3,216

### Registered Representatives\*

18,386

### Correspondent Clearing Firms\*

92

### Industry Rank

- Third-largest full-service provider of retail brokerage services in the U.S. (based on the number of Financial Advisors as of March 31, 2012)
- Third-largest provider of managed accounts (based on assets)

### Areas of Distinction

- Unique structure that combines the capabilities of a large, national firm with the best practices of several smaller, esteemed firms
- Dedication to Financial Advisors and their relationships with clients
- Unprecedented choice and flexibility for Financial Advisors and their clients through distinct business channels supported by established products, services, and technology

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24 hours a day, 7 days a week

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## Financial Reports

- [Wells Fargo Advisors Statement of Financial Condition \(Audited\) \(PDF\)](#) (418KB)
- [Wells Fargo Advisors Consolidated Statement of Financial Condition \(Unaudited\) \(PDF\)](#) (273KB)

## Related Information

- [Facts at a Glance \(PDF\)](#)
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- First Clearing, LLC

### Financial Advisors\*

15,268

### Licensed Bankers\*

3,340

### Registered Representatives\*

18,608

### Correspondent Clearing Firms\*

88

### Industry Rank

- Third-largest full-service provider of retail brokerage services in the U.S. (based on the number of Financial Advisors as of March 31, 2013)
- Third-largest provider of managed accounts (based on assets as of March 31, 2013)

### Areas of Distinction

- Unique structure and scale that combines the capabilities and best practices available only through a large, national firm with a client focus more typical of smaller firms
- Dedicated to Financial Advisors and their relationships with clients
- Unprecedented choice and flexibility for Financial Advisors and their clients through distinct business channels supported by established products, services, and technology

Data as of June 30, 2013

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## Financial Reports

- [Wells Fargo Advisors Statement of Financial Condition \(Audited\) \(PDF\)](#) (519KB)
- [Wells Fargo Advisors Consolidated Statement of Financial Condition \(Unaudited\) \(PDF\)](#) (306KB)

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